	А	В	С	D
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2	Theme 1: Preventing and controlling the spread of i		read of infect	
				Requirement
3	Reference	Actions Required by Local Authorities	Current status	fully met (Y/N)?
		continue to implement relevant guidance and circulate and		
		promote guidance to adult social care providers in their area,		
4	1.1	including for visitors		
		directors of public health should work with relevant partners		
		including Public Health England and local health protection		
		boards to control local outbreaks and should refer to the		
5	1.2	<u>contain framework</u>		
		support care homes, working with local partners to carry out		
		learning reviews after each outbreak to identify and share any		
6	1.3	lessons learned at local, regional and national levels		
7	Managin	g staff movement		
		distribute money from the Infection Control Fund, and submit		
		returns on how the funding has been used in line with the		
8	1.4	grant conditions		
		consult the guidance available on redeploying staff and		
		managing their movement, and support providers in their		
		area to access other initiatives – for example Bringing Back		
9	1.5	<u>Staff</u>		
		continue to review contingency arrangements to help manage		
		staffing shortages, within social care provision, through the		
10	1.6	winter, with the aim of reducing the need for staff movement		

	А	В	С	D
		provide clear communication to social care providers		
		regarding the importance of implementing workforce		
		measures to limit COVID-19 infection, signpost relevant		
		guidance, and encourage providers to make use of additional		
11	1.7	funding where appropriate		
		actively monitor Capacity Tracker data to identify and act on		
		emerging concerns regarding staff movement between care		
		settings, including following up with care providers who are		
12		not limiting staff movement		
13	Personal	protective equipment (PPE)		
		follow all relevant guidance on use of PPE, including		
		recommendations for those providing support to people with		
14	1.9	learning disabilities or autistic people		
		make use of free government-funded PPE stocks where		
		needed and in line with COVID-19 PPE guidance. Care homes		
		and domiciliary care providers, along with some others, are		
		eligible to register for the PPE portal guidance and can obtain		
		free PPE through this route. Providers ineligible to register for		
		the portal (for example, personal assistants), should obtain		
		PPE from their LRF (if it is continuing to distribute PPE), or		
15	1.10	<u>their local authority</u>		
		in the event of urgent need for PPE stocks, use the National		
		Supply Disruption Response (NSDR) or contact their LRF to		
16	1.11	access free emergency supply from the LRF stockpile		
		report shortages via Capacity Tracker and/or the CQC		
17		community care survey		
18	COVID-1	9 testing		

	A	В	С	D
		ensure positive cases are identified promptly, make sure care		
		providers, as far as possible, carry out testing as per the		
		testing strategy and and, together with NHS organisations,		
19	1.13	provide local support for testing in adult social care, if needed		
		actively monitor their local testing data to identify and act on		
		emerging concerns, including following up with care homes		
20	1.14	that are not undertaking regular testing, as per the guidance		
21	Seasona	flu vaccines		
		support communications campaigns encouraging eligible staff		
22		and people who receive care to receive a free flu vaccine		
23	1.16	direct providers to local vaccination venues		
		work with local NHS partners to facilitate and encourage the		
		delivery of flu vaccines to social care staff and residents in		
24	1.17	care homes		
25		The	me 2: Collaboration across he	alth and care
				Requirement
26	Reference	Actions Required by Local Authorities	Current status	fully met (Y/N)?
27	Safe disc	harge from NHS settings and preventing avoidable	admissions	
28	2.1	(including commissioning of care home beds). The local		
		establish an Executive Lead for the leadership and delivery of		
29	2.2	the discharge to assess model;		
		establish efficient processes to manage CHC assessments in		
		line with the guidance on the reintroduction of NHS		
		continuing healthcare (as well as the discharge guidance),		
		which includes extending the use of the Trusted Assessor		
30	2.3	Model and digital assessments		
31	2.4	assessments, drawing on discharge funding but without		

	Α	В	С	D
		work with partners to coordinate activity, with local and		
		national voluntary sector organisations, to provide services		
		and support to people requiring support around discharge		
32	2.5	from hospital and subsequent recovery		
33	Enhance	d health in care homes		
34	2.6	themselves arrangements are in place.		
35	Technolo	ogy and digital support		•
36	Social pr	escribing		
		work closely with SPLWs (social prescribing link workers) to		
		co-ordinate support for people identified by health and care		
		professionals as most needing it, especially those impacted by		
		health inequalities and autistic people and people with		
37	2.7	learning disabilities		
		ensure SPLWs have the support and equipment to work		
38	2.8	remotely and access GP IT systems		
39		Theme 3: Suppo	orting people who receive soc	ial care, the v
				Requirement
40	Reference	Actions Required by Local Authorities	Current status	fully met (Y/N)?
41	Supporti	ng independence and quality of life		
		give a regular assessment of whether visiting care homes is		
		likely to be appropriate, within their local authority, or within		
42	3.1	local wards, taking into account the wider risk environment		
		if necessary, impose visiting restrictions if local incidence rates		
		are rising, and immediately if an area is listed as 'an area of		
43		intervention'		
44	Direct pa	ayments		

	А	В	С	D
		consult the new guidance for the actions that they should		
		undertake to ensure that people receiving direct payments,		
		their families and carers are able to meet their care and		
45	3.3	support needs this winter		
		give people with direct payments the level of flexibility and		
		control as envisaged in the Care Act and NHS Direct Payment		
		regulations and accompanying guidance, allowing them to		
46		stay well, and get the care and support they need		
47	Support	for unpaid carers		
		make sure carers, and those who organise their own care,		
		know what support is available to them and who to contact if		
48	3.5	they need help		
		follow the direct payments guidance and be flexible to		
49	3.6	maximise independence		
		ensure that assessments are updated to reflect any additional		
		needs created by COVID-19 of both carers and those in need		
50	3.7	of social care		
51	3.8	to consider how they can reopen safely or be reconfigured to		
		where people who use social care services can no longer		
		access the day care or respite services that they used before		
52		the pandemic, work with them to identify alternative		
53	End-of-l			
		ensure that discussions and decisions on advanced care		
		planning, including end of life, should take place between the		
		individual (and those people who are important to them		
		where appropriate) and the multi-professional care team		
		supporting them. Where a person lacks the capacity to make		
		treatment decisions, a care plan should be developed		
		following where applicable the best interest check-list under		
54	3.10	the Mental Capacity Act		

	А	В	С	D
		implement relevant guidance and circulate, promote and		
		summarise guidance to the relevant providers. This should		
		draw on the wide range of resources that have been made		
		available to the social care sector by key health and care		
		system partners and organisations including those on the NHS		
55		website and those published by the Royal Colleges of GPs	1	
56	Care Act	easements		Γ
57	3.12	only apply the Care Act easements when absolutely necessary		
	2.42			
58	3.13	notify DHSC of any decisions to apply the Care Act easements		
		communicate the decision to operate under easements to all		
50	2 4 4	providers, people who need care and support, carers and local		
59	3.14	MPs in an accessible format meet the needs of all people where failure to do so would		
60	2.15	breach an individual's human rights under the European Convention on Human Rights		
60	3.15	follow the Ethical Framework for Adult Social Care when		
		making decisions regarding care provision, alongside relevant		
61	3 16	equalities-related and human rights frameworks		
01	5.10			
		work closely with local NHS CHC teams, to ensure appropriate		
		discussions and planning concerning a person's long-term care		
62	3.17	options take place, as early as possible after discharge		
		ng the workforce		
64		Staff training		
		ensure providers are aware of the free induction training offer		
65	3.18	and encourage them to make use of it		
66	3.19	promote and summarise relevant guidance to care providers		
67		Supporting the wellbeing of the workforce		

	А	В	С	D
		maintain, where possible, the additional staff support services		
68	3.20	which they put in place during the first wave of the pandemic		
		review current occupational health provision with providers in		
69	3.21	their area and highlight good practice		
		promote wellbeing offers to their staff and allow staff time to		
70	3.22	access support, as well as promoting to providers in their area		
71		Workforce capacity		
		continue to review contingency arrangements to help manage		
		staffing shortages within social care provision through the		
72	3.23	winter		
		consult the guidance available on deploying staff and		
		managing their movement, and support providers in their		
		area to access other initiatives – for example Bringing Back		
73	3.24	Staff		
		consider how voluntary groups can support provision and link-		
74	3.25	up care providers with the voluntary sector where necessary		
		support providers, in their area, to complete the capacity		
		tracker and update their adult social care workforce data set		
	2.26	(ASCWDS) records to help ensure effective local capacity		
75		monitoring and planning g and people who are clinically extremely vulnerab		
70	Smeluing	Local authorities will coordinate local support if shielding is		
		reintroduced in a local area. This includes provision of		
		enhanced care and support for CEV people on the shielded		
77	2 27	persons list		
		ork and other professional leadership		
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	А	В	С	D
		ensure that their social work teams are applying legislative		
		and strengths-based frameworks (including those based on		
		duties under the Care Act and Mental Capacity Act) and		
79	3.28	support partner organisations such as the NHS to do the same		
		ensure social work practice is fully cognisant of and acts on		
		the issues of inequality and deprivation and the impact this		
		has on communities and people's access to health and social		
80	3.29	care services		
		understand and address health inequalities across the sector		
		and develop actions with partners, where required, taking		
		into account the implications of higher prevalence of COVID-		
		19 in Black, Asian and minority ethnic communities and		
		inequalities experienced by people with learning disabilities,		
81	3.30	autistic adults, and people with mental health difficulties		
		review their current quality assurance frameworks and		
		governance oversight arrangements to ensure that winter and		
		COVID-19 pressures do not reduce the ability to deliver high-		
82	3.31	quality social work practice		
		develop and maintain links with professionals across the		
83	3.32	health and care system to ensure joined-up services		
		Social Care, ensuring that NHS partners fully understand their		
		responsibilities to apply the ethical principles and values as		
84	3.33	part of discharge to assess delivery		
		ensure that the application of new models and pathways are		
		offering the best possible outcome for individuals, their		
		families and loved ones, advocating for them and advising		
85	3.34	commissioners where these pathways cause a conflict		

	А	В	С	D
		review any systemic safeguarding concerns that have arisen		
		during the pandemic period and ensure actions are in place to		
		respond to them, enabling reediness for any increased		
86	3.35	pressures over the winter period		
		support and lead social workers and safeguarding teams to		
		apply statutory safeguarding guidance with a focus on person-		
87	3.36	led and outcome focused practice		
88			Theme 4: Supporting	the system
				Requirement
89	Reference	Actions Required by Local Authorities	Current status	fully met (Y/N)?
90	Funding			
		provide DHSC with information about how the money		
91	4.1	Infection Control Fund has been spent by 30 September 2020		
		continue to maintain the information they have published on		
		their websites about the financial support they have offered		
92		to their local adult social care market		
		provide regular returns to DHSC on the spending of the		
		extended Infection Control Fund in line with the grant		
93		conditions		
94	Market a	nd provider sustainability		
		work with local partners to engage with the Service Continuity		
		and Care Market Review, and – when requested – complete a		
		self-assessment of the health of local market management		
95	4.4	and contingency planning leading into winter		
		continue to work understand their local care market; and to		
96	4.5	support and develop the market accordingly		
		continue to support their provider market as needed, to		
		secure continuity of care, including promoting the financial		
97		support available	l	
98	LUC Sup	port: Emergency Support Framework and sharing k	lest practice	

	A	В	С	D
		work with the CQC to promote and inform providers about		
99	4.7	monitoring processes		
100	Local, re	gional and national oversight and support		
		write to DHSC by 31 October confirming they have put in		
		place a winter plan and that they are working with care		
		providers in their area on their business continuity plans,		
		highlighting any key issues if needed, in order to receive the		
		second instalment of the Infection Control Fund. These plans		
		should consider the recommendations of this Winter Plan,		
101	4.8	and involve NHS and voluntary and community sector		
		continue current oversight processes, including delivery of		
		Care Home Support Plans and engagement with regional		
102	10	feedback loops		
102	4.5	continue to champion the Capacity Tracker and the CQC		
		community care survey and promote their importance as a		
103	4.10	source of data to local providers and commissioners		
100		establish a weekly joint communication from local directors of		
		adult social services and directors of public health to go to all		
		local providers of adult social care, as a matter of course,		
104	4.11	through the winter months		
		ome support plans		
		whether care homes were able to implement infection		
106	4.12	prevention and control measures		
107	/ 12	access to support, including clinical support from primary care		
107	4.13	the expanded offer of COVID-19 testing for all residents and		
108	л 1л	asymptomatic staff		
108	4.14	their overall supply of medical and personal protective		
109	<u>л 1</u> с	equipment and training to use it effectively		
109	4.13	equipment and training to use it effectively		

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